



Know Your Customer /due Diligence Form for Individual Investors

(In compliance with Regulation 9 of the NBFC & NE Regulations, 2008 and SECP's Circular No.12 dated April 28, 2009)

This Form is meant and adopted to establish the identity of the client by using reliable independent source of documents, data and information, under NBFC & NE Regulations, 2008.

Note: This form must be filled in and signed by Principal and Joint Account Holders separately

1. Name (Mr./Mrs./Ms. _____

2. CNIC No. _____

3. Age _____

4. Marital Status

Single

Married

Widow

5 No. of Dependents _____

6. Occupation: Service Private Self Employed House Wife

Service Government Professional (Please specify) _____

Retired Student

7 Education: Under Graduate. Graduate Post Graduate Professional

Other (Please specify) _____

8. Source of Income: (Multiple option can be selected)

Salary Business Inheritance Stock/Investments

Savings Pension/Retirement Benefits Home Remittance

9. Approximate Annual Income (Optional)

Up to Rs.100, 000 Rs.100, 001 – Rs.250, 000 Rs.250, 001 – Rs.500, 000 Rs.500,001 – Rs.1,000,000

Rs.1,000,001 – Rs.2,000,000 Rs.2,000,001 – Rs.4,000,000 Rs.4,000,001 – Rs.10,000,000 Rs.10,000,001 & above

11. Ultimate Beneficiary of the Investment (if different from investor) _____

(Provide CNIC and other relevant information of ultimate beneficiary).

Please note that redemption shall only be allowed in favor of account holder.

جتنی تکمیل کا ہام (اگر سرمایہ کاری کرنے والے سے متفاہ) تو یہ اسے مہربانی تو قوی تھانجی کارڈ کا پی اور اس کے متعلق ضروری دستاویز بھی فراہم کریں۔
قوت: قلم کی ادائی سرفیس پر ملکیت کے ہو گئی۔

Date
تاریخ

Unit Holder's Signature
یونٹ ہولڈر کے دستخط

Documents to be attached for Individual / Sole Proprietorship (if not already provided)

مندرجہ ذیل مستندیوں کے مکمل (اگر سرمایہ کاری کے لئے)۔

a. Copy of CNIC / Passport

ا۔ شناختی کارڈ / پاسپورٹ کی کاپی۔

b. Business / Employment Proof

ب۔ کاروبار ملازمت کا ثبوت۔

Note: Tax and Zakat Exemption Certificate / Affidavit are Mandatory if exempted.

نوت: اگر اس کو قوت مکانہ سرمایہ کاری کے لئے ممکنہ شدہ ہے۔

(This Form is Mandatory for Individuals only)

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