

Know Your Customer /due Diligence Form for Individual Investors

(In compliance with Regulation 9 of the NBFC & NE Regulations, 2008 and SECP's Circular No.12 dated April 28, 2009)

This Form is meant and adopted to establish the identity of the client by using reliable independent source of documents, data and information, under NBFC & NE Regulations, 2008.

Note: This form must be filled in and signed by Principal and Joint Account Holders separately

1. Name (Mr./Mrs./Ms. _____) 2. CNIC No. _____

3. Age _____ 4. Marital Status ☐ Single ☐ Married ☐ Widow

5 No. of Dependents _____

6. Occupation: ☐ Service Private ☐ Self Employed ☐ House Wife
☐ Service Government ☐ Professional (Please specify) _____
☐ Retired ☐ Student

7 Education: ☐ Under Graduate. ☐ Graduate ☐ Post Graduate ☐ Professional
☐ Other (Please specify) _____

8. Source of Income: (Multiple option can be selected)

☐ Salary ☐ Business ☐ Inheritance ☐ Stock/Investments
☐ Savings ☐ Pension/Retirement Benefits ☐ Home Remittance

9. Approximate Annual Income (Optional)

☐ Up to Rs.100, 000 ☐ Rs.100, 001 – Rs.250, 000 ☐ Rs.250, 001 – Rs.500, 000 ☐ Rs.500,001 – Rs.1,000,000
☐ Rs.1,000,001 – Rs.2,000,000 ☐ Rs.2,000,001 – Rs.4,000,000 ☐ Rs.4,000,001 – Rs.10,000,000 ☐ Rs.10,000,001 & above

11. Ultimate Beneficiary of the Investment (if different from investor) _____

(Provide CNIC and other relevant information of ultimate beneficiary).

Please note that redemption shall only be allowed in favor of account holder.

حتمی ملکیت کا کارنامہ (اگر سرمایہ کاری کرنے والے سے مختلف ہو) تو برائے بریائی قومی شناختی کارڈ کی کاپی اور اس کے متعلق ضروری دستاویز بھی فراہم کریں۔
نوٹ: رقم کی واپسی صرف سرمایہ کار کے نام ہوگی۔

Date
تاریخ

Unit Holder's Signature
یونٹ ہولڈر کے دستخط

Documents to be attached for Individual / Sole Proprietorship (if not already provided)

a. Copy of CNIC / Passport

b. Business / Employment Proof

Note: Tax and Zakat Exemption Certificate / Affidavit are Mandatory if exempted.

مندرجہ ذیل دستاویزات فراہم کیجئے (اگر پہلے فراہم نہیں کیے گئے)

ا۔ شناختی کارڈ / پاسپورٹ کی کاپی۔

ب۔ کاروبار / ملازمت کا ثبوت۔

نوٹ: ٹیکس اور زکوٰۃ استثناء سرٹیفکیٹ یا اگر استثناء شدہ ہے۔

(This Form is Mandatory for Individuals only)

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